JOB OPPORTUNITY IN THE OFFICE OF COMMISSIONER-DESIGNATE UYEDA

The U.S. Securities and Exchange Commission's Office of Commissioner-designate Uyeda is seeking applicants for Attorney-Adviser-Counsel, SK-905-16 positions located in Washington, DC. This is a term position not-to-exceed one year, with the possibility of extension, and is open to all US citizens. The open announcement closes on July 7, 2022.

The Office of Commissioner-designate Uyeda is seeking applicants for Attorney-Adviser-Counsel, SK-905-16 positions, not-to-exceed one year, with the possibility of extension. As Counsel to the Commissioner, duties and responsibilities include:

- Assisting the Commissioner in analyzing rulemakings and other proposals before the Commission, and working with other Commission staff to develop language to effectuate the Commission's business; successful applicants may be assigned to focus on a specific substantive area, such as corporation finance, investment management, and trading and markets.
- Reviewing enforcement recommendations.
- Accompanying, representing, or acting for the Commissioner at conferences and meetings as directed by the Commissioner.
- Independently meeting with top management officials and other staff of the agency and other foreign, international, federal, state, and private individuals to have an up-to-date view of a wide variety of program and policy issues.
- Assisting the Commissioner with recommendations on how to improve the Commission's operations and regulatory policy.
- Working with highly confidential and sensitive documents and information.

How to Apply:

All applicants must possess the following:

- J.D. or LL.B. degree;
- Active membership of the bar in good standing in any state, territory of the United States, the District of Columbia, or the commonwealth of Puerto Rico; and

To be considered at the SK-16 level - Candidate must possess at least four (4) years post-J.D experience identifying legal issues, providing legal analyses, and providing legal solutions/recommendations. Applicant also must have three (3) years specialized experience:

- 1. Interpreting and applying the provisions of the laws, rules, and regulations that govern the securities industry, in particular, the Securities Act of 1933 and the Securities Exchange Act of 1934;
- 2. Evaluating legal and policy issues relating to corporate and securities laws;
- 3. Providing legal advice and guidance on securities matters;
- 4. Managing numerous initiatives, all of which are on expedited timelines; AND
- 5. Communicating effectively with senior officials verbally and in writing.

Applicant may disclose any specialized experience in the Investment Company Act of 1940, the Investment Advisers Act of 1940, and/or the Administrative Procedure Act. **Application Submission Procedure:**

Please email the following documents to <u>barclayv@sec.gov</u> using the Subject Line "Job Opportunity – Commissioner-designate Uyeda"

- Resume
- A cover letter outlining your relevant background and experience (which should be addressed in the resume)
- Attorney Appointment Application (if selected, will be required)
- Veterans Preference documentation (if applicable)
- If selected, official transcript and proof of Bar membership will be required.

Equal Employment Opportunity Statement

The United States Government does not discriminate in employment on the basis of race, color, religion, sex (including pregnancy and gender identity), national origin, political affiliation, sexual orientation, marital status, disability, genetic information, age, membership in an employee organization, retaliation, parental status, military service, or other non-merit factor.

Questions: Valerie Barclay, <u>barclayv@sec.gov and (202) 551-6974</u>.

WE ARE IN YOUR COMMUNITY